



05-03-02

RECEIPT

Docket No.: 101

May 2, 2002

Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Re: Applicant(s): Vargas, Jaime S.; et. al.  
Assignee: Cardica, Inc.  
Title: Integrated Anastomosis System  
Serial No.: 10/057,795  
Examiner: Not yet assigned  
Docket No.: 101

Filed: January 23, 2002  
Group Art Unit: 3739

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Request for Corrected Official Filing Receipt with supporting exhibits A and B; and
- (3) Return postcard.

Please charge Deposit Account 502108 for any fees required, and credit deposit account 502108 any amounts overpaid.

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Box Amendment, Commissioner For Patents, Washington, DC 20231, on May 2, 2002.	
 _____ Attorney for Applicant(s)	_____ May 2, 2002 Date of Signature
EXPRESS MAIL NO. EV060921664US	

Respectfully submitted,

  
\_\_\_\_\_  
Brian A. Schar  
Reg. No. 45,076  
Chief Patent Counsel  
Cardica, Inc.  
171 Jefferson Drive  
Menlo Park, CA 94025

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Docket No.: 101

May 2, 2002

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Customer Service Center  
Washington, DC 20231

Re: Applicant(s): Vargas, Jaime S.; et. al.  
Assignee: Cardica, Inc.  
Title: Integrated Anastomosis System  
Serial No.: 10/057,795  
Examiner: Not yet assigned Filed: January 23, 2002  
Docket No.: 101 Group Art Unit: 3739

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Dear Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show that correction is needed. The section entitled "domestic priority data as claimed by applicant" should read:

**--This application is a continuation-in-part of 10/054,745 01/22/2002.--**

In support of this change, a copy of the first page of the patent application as filed is attached as Exhibit A. The highlighted portion of this page establishes that "[t]his patent application is a continuation-in-part of the U.S. Patent Application filed on January 22, 2002 entitled "Method and Apparatus for Creating an Opening in the Wall of a Tubular Vessel," attorney docket no. 057, serial number not yet assigned."

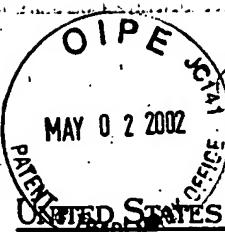
Also attached as Exhibit B is a copy of the filing receipt for the parent application, serial no. 10/054,745, filed on January 22, 2002. The filing receipt shows that serial number 10/054,745 corresponds to Cardica's attorney docket no. 057, and to the title "Method and Apparatus for Creating an Opening in the Wall of a Tubular Vessel."

Exhibits A and B together establish that Cardica's attorney docket no. 057 corresponds to serial no. 10/054,745, and that this patent application is a continuation-in-part of serial no. 10/054,745. Issuance of a corrected Official Filing Receipt is respectfully requested.

Respectfully submitted,



Brian A. Schar  
Reg. No. 45,076  
Chief Patent Counsel  
Cardica, Inc.  
(650) 326-5600 x162



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/057,795	01/23/2002	3739	0.00	101	34	57	4

CONFIRMATION NO. 9315

FILING RECEIPT



\*OC000000007497692\*

Brian A. Schar  
Cardica, Inc  
171 Jefferson Drive  
Menlo Park, CA 94025

Date Mailed: 02/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jaime S. Vargas, Residence Not Provided;  
Stephen A. Yencho, Residence Not Provided;  
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Brendan M. Donohoe, Residence Not Provided;  
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Heather L. Klaubert, Residence Not Provided;  
Russell C. Mead JR., Residence Not Provided;

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Domestic Priority data as claimed by applicant

This application is a continuation-in-part of 10/054,745 01/22/2002.

Foreign Applications

If Required, Foreign Filing License Granted 02/19/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*



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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/054,745	01/22/2002	3731	0.00	057	11	61	3

CONFIRMATION NO. 3564

FILING RECEIPT



\*OC000000007640377\*

Date Mailed: 03/14/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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Theodore M. Bender, Residence Not Provided;  
Brian R. DuBois, Residence Not Provided;  
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Nathan H. White, Residence Not Provided;  
Gregory B. Arcenio, Residence Not Provided;  
Heather L. Klaubert, Residence Not Provided;  
Russell C. Mead JR., Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/14/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

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**Title**

Method and apparatus for creating an opening in the wall of a tubular vessel

**Preliminary Class**

606

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

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